

PATENT

JC986 U.S. PTO
09/895281
06/29/01

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GROUP ART UNIT:

**FOR: SINGLE PARTY BUYING AND
SELLING COMMODITIES WITH
MULTIPLE COUNTERPARTIES**

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FORM PTO-1449 (Modified)

ATTY DOCKET NO.
ENR100/4-15

SERIAL NO.

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

APPLICANT
Louise J. Kitchen et al.

FILING DATE

GROUP

JC986 U.S. PTO
09/895281
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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | | DOCUMENT NUMBER | | | | | | | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|---|---|---|---|---|---|----------|------------------|-------|----------|-------------------------------|
| | AA | 4 | 6 | 7 | 4 | 0 | 4 | 4 | 06/16/87 | Kalmus et al. | 364 | 408 | |
| | AB | 4 | 7 | 3 | 9 | 4 | 7 | 8 | 04/19/88 | Roberts et al. | 364 | 408 | |
| | AC | 4 | 7 | 5 | 1 | 6 | 4 | 0 | 06/14/88 | Lucas et al. | 364 | 408 | |
| | AD | 5 | 0 | 7 | 7 | 6 | 6 | 5 | 12/31/91 | Silverman et al. | 364 | 408 | |
| | AE | 5 | 7 | 2 | 4 | 5 | 2 | 4 | 03/03/98 | Hunt et al. | 395 | 237 | |
| | AJ | 5 | 7 | 9 | 4 | 2 | 0 | 7 | 08/11/98 | Walker et al. | 705 | 23 | |
| | AJ | 5 | 8 | 4 | 5 | 2 | 6 | 5 | 12/01/98 | Woolston et al. | 705 | 37 | |
| | AF | 5 | 9 | 5 | 0 | 1 | 7 | 8 | 09/07/99 | Borgato | 705 | 37 | |
| | AG | 5 | 9 | 6 | 0 | 4 | 1 | 1 | 09/28/99 | Hartman et al. | 705 | 26 | |
| | AH | 5 | 9 | 7 | 0 | 4 | 7 | 9 | 10/19/99 | Shepherd | 705 | 37 | |
| | AI | 6 | 0 | 2 | 9 | 1 | 4 | 6 | 02/22/00 | Hawkins et al. | 705 | 35 | |
| | AJ | 6 | 0 | 5 | 8 | 3 | 7 | 9 | 05/02/00 | Odom et al. | 705 | 37 | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | | | | | | | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION? | |
|--|--------|-----------------|--|--|--|--|--|--|------|---------|-------|----------|--------------|----|
| | | | | | | | | | | | | | YES | NO |
| | AL | | | | | | | | | | | | | |
| | A M | | | | | | | | | | | | | |
| | AN | | | | | | | | | | | | | |

OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

| | | | | | | | | | | | | | |
|--|----|---|--|--|--|--|--|--|--|--|--|--|--|
| | AR | In re Application of Wait - 28 U.S.P.Q. 88 (C.C.P.A 1934) | | | | | | | | | | | |
| | AS | | | | | | | | | | | | |
| | AT | | | | | | | | | | | | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.